



Attorney's Docket No.: 10284-016001

Sector \$  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tayyaba Hasan et al.                      Art Unit :  
Serial No. : 09/586,235                                      Examiner :  
Filed : June 2, 2000  
Title : TREATMENT AND ANALYSIS OF PROLIFERATIVE DISORDERS

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 23, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 20, 2000  
Date of Deposit

Sandra Sturtevant  
Signature

Sandra Sturtevant  
Typed or Printed Name of Person Signing Certificate

Applicant : Tayyaba Hasan et al.  
Serial No. : 09/586,235  
Filed : June 2, 2000  
Page : 2

Attorney's Docket No.: 10284-016001

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 20, 2000

Diane Celiz Reg No. 44,435  
for Louis Myers  
Reg. No. 35,965

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20143030.doc

PLM  
DML

## FORMALITIES LETTER



\*OC00000005345918\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/586,235	06/02/2000	Tayyaba Hasan	10284016001

Louis Myers  
Fish & Richardson PC  
225 Franklin Street  
Boston, MA 02110-2804



Date Mailed: 08/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docketed By	Billing Secretary
Due Date:	10/23/00
Deadline:	2/23/01
Initials:	LS

Docketed By	Practice Systems
Action Code:	M.P.
Base Date:	8-23-00
Due Date:	10-23-00
Deadline:	2-23-01
Initial:	WJO

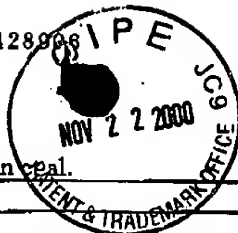
RECEIVED

AUG 28 2000

FISH & RICHARDSON, P.C.  
BOSTON OFFICE

11/27/2000 HNDOR1 00000072 09586235

01 FC:201  
02 FC:205355.00 OP  
65.00 OP



ATTORNEY DOCKET NO. 10284-016001

Applicant or Patentee: Tayyaba Hasan et al.

Serial or Patent No.: 09/586,235

Filed or Issued: June 2, 2000

For: TREATMENT AND ANALYSIS OF PROLIFERATIVE DISORDERS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(d)) — NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: The General Hospital Corporation  
 Address of Organization: 55 Fruit Street, Boston, Massachusetts

Type of Organization:

- ☐ University or Other Institution of Higher Education  
☒ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))  
☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America  
 (Name of State: \_\_\_\_\_) (Citation of Statute: \_\_\_\_\_)  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America  
☐ Would qualify as nonprofit scientific or educational under Statute of State of the United States of America if located in the United States of America  
 (Name of State: \_\_\_\_\_) (Citation of Statute: \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled TREATMENT AND ANALYSIS OF PROLIFERATIVE DISORDERS by inventor(s) TAYYABA HASAN; BERNHARD ORTEL AND EDWARD MAYTIN, described in:

- ☐ the specification filed herewith.  
☒ application serial no. 09/586,235, filed June 2, 2000.  
☐ patent no. \_\_, issued \_\_.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name:	David J. Glass, Ph.D.
Title:	Associate Director for Patents, Office of Technology Affairs, Massachusetts General Hospital
Address:	Thirteenth Street, Building 149, Suite 1101, Charlestown, MA 02129

Signature: \_\_\_\_\_

Date: 11/2/00